

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: MailMail-Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
or Fax (703) 746-4000

JUN 06 2005

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

02/24/2005

Daniel L. Dawes  
Myers, Dawes and Andras LLP  
5252 Kenilworth Dr.  
Huntington Beach, CA 92649

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

Jo Ann Green (Depositor's name)  
[Signature] (Signature)  
April 14, 2005 (Date)

06/07/2005 FFANAI3 00000049 09785743

01 FC:1501 1400.00 OP

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/785,743	02/16/2001	Yuichi Murayama	F689A	5528

TITLE OF INVENTION: BIOABSORBABLE POLYMERIC IMPLANTS AND A METHOD OF USING THE SAME TO CREATE OCCLUSIONS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	05/24/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
RAGONESE, ANDREA M	3743	606-200000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Daniel L. Dawes  
2 Myers Dawes Andras &  
3 Sherman LLP

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

The Regents of the University of  
California

Oakland, California

Adjustment date: 06/07/2005 FFANAI3  
04/20/2005 DENMANU2 00000101 09785743  
01 FC:2501 -700.00 OPPlease check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☐ Corporation or other private group entity ☐ Government

## 4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies 10

## 4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 01-1960 (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Daniel L. Dawes

Date

April 14, 2005

Typed or printed name

Registration No.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL OF PAYMENT OF ISSUE FEE (Large Entity)**  
**(37 C.F.R. 1.311)**

Docket No.  
UC1.P689A

Applicant(s): Yuichi Murayama et al.

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/785,743	02/16/2001	Andrea M. Ragonese	23386	3743	5528

Invention: **BIOABSORBABLE POLYMERIC IMPLANTS AND A METHOD OF USING THE SAME TO  
CREATE OCCLUSIONS**

**Mail Stop Issue Fee**  
**COMMISSIONER FOR PATENTS**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Transmitted herewith are the following for the above-identified application.

- ☒ Issue Fee Transmittal Form PTOL-85
- ☒ Utility Fee: \$ 1400.00    ☐ Design Fee: \_\_\_\_\_    ☐ Plant Fee: \_\_\_\_\_
- ☒ Publication Fee: \$ 300.00
- ☒ A check in the amount of \$700.00 is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

*Daniel L. Dawes*  
Signature

Dated: June 2, 2005

Daniel L. Dawes, Reg. No. 27,123  
Myers Dawes Andras & Sherman  
19900 MacArthur Boulevard, Suite 1150  
Irvine, California 92612

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(Date)

Signature

Typed or Printed Name of Person Signing Certificate

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I hereby certify that this correspondence is being deposited  
with the United States Postal Service with sufficient postage as  
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Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR  
1.8(a)] on 6/2/2005

(Date)

*Jo Ann Breen*  
Signature of Person Mailing Correspondence

Jo Ann Breen

Typed or Printed Name of Person Mailing Correspondence



Attorney Docket No. UC1.P689A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Yuichi Murayama et al.

Examiner: Andrea M. Ragonese  
Art Unit: 3743

Application Serial No.: 09/785,743

Filed: February 16, 2001

For: BIOABSORBABLE  
POLYMERIC IMPLANTS AND A  
METHOD OF USING THE SAME TO  
CREATE OCCLUSIONS

**BASE ISSUE FEE**

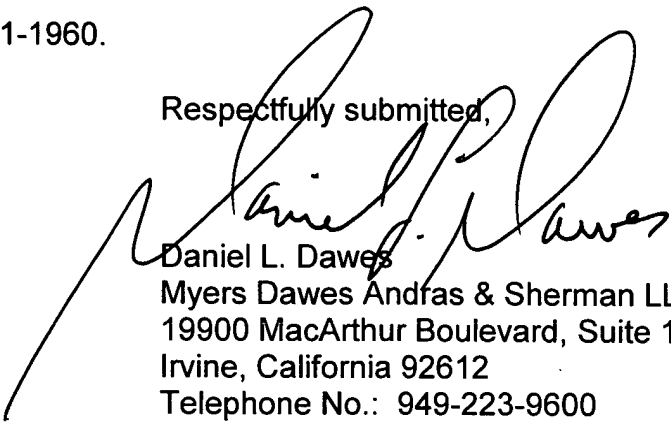
Hon. Commissioner of Patents and Trademarks  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

On April 14, 2005, we submitted to the United States Patent and Trademark Office the transmittal of payment for the issue fee for the above-identified patent application under a small entity status. A copy is attached. We submitted the necessary fees for a small entity, however the applicant is no longer claiming a small entity status. Please find enclosed herewith the additional fee of \$700.00 for a large entity status pertaining to this application.

A large entity status has been effective since October 18, 2000, therefore, if there are any additional fees that need to be paid due to this change, please charge Deposit Account No. 01-1960.

Respectfully submitted,

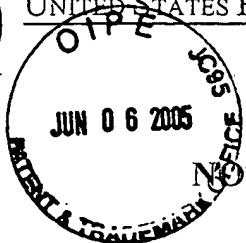


Daniel L. Dawes  
Myers Dawes Andras & Sherman LLP  
19900 MacArthur Boulevard, Suite 1150  
Irvine, California 92612  
Telephone No.: 949-223-9600  
Fax No.: 949-223-9610



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
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www.uspto.gov



## NOTICE OF ALLOWANCE AND FEE(S) DUE

RECEIVED

Daniel L. Dawes  
Myers, Dawes and Andras LLP  
5252 Kenilworth Dr.  
Huntington Beach, CA 92649

7590 02/24/2005

FEB 28 2005

*Myers Dawes Andras &  
Sherman LLP*

EXAMINER

RAGONESE, ANDREA M

ART UNIT

PAPER NUMBER

3743

DATE MAILED: 02/24/2005

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/785,743	02/16/2001	Yuichi Murayama	UCL P689A	5528

TITLE OF INVENTION: BIOABSORBABLE POLYMERIC IMPLANTS AND A METHOD OF USING THE SAME TO CREATE OCCLUSIONS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	05/24/2005

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

## HOW TO REPLY TO THIS NOTICE:

## I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

**IMPORTANT REMINDER:** Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

TRANSMITTAL OF PAYMENT OF ISSUE FEE (Small Entity)  
(37 C.F.R. 1.311)

Docket No.  
UC1.P689A

Applicant(s): Yuichi Murayama

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/785,743	02/16/2001	A. M. Ragonese	23386	3743	5528

Invention: BIOABSORBABLE POLYMERIC IMPLANTS AND A METHOD OF USING THE SAME TO  
CREATE OCCLUSIONS

JUN 06 2005

Mail Stop Issue Fee  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith are the following for the above-identified application.

- ☒ Issue Fee Transmittal Form PTOL-85  
☒ Utility Fee: \$ 700.00 ☐ Design Fee: ☐ Plant Fee:  
☒ Publication Fee: \$ 300.00  
☒ A check in the amount of \$1,030.00 is attached.  
☒ The Director is hereby authorized to charge and credit Deposit Account No. 01-1960  
as described below.  
☐ Charge the amount of  
☒ Credit any overpayment.  
☒ Charge any additional fee required.  
☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Signature

Dated: April 14, 2005

Daniel L. Dawes, Reg. No. 27,123  
Myers Dawes Andras & Sherman LLP  
19900 MacArthur Boulevard, Suite 1150  
Irvine, California 92612

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Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA  
22313-1450" [37 CFR 1.8(a)] on

April 14, 2005

(Date)

Signature of Person Mailing Correspondence

Jo Ann Breen

Typed or Printed Name of Person Mailing Correspondence